



Client Investment Profile

Titan Securities Pty Ltd | AFSL: 307040 | ACN: 120 951 608

CORPORATIONS LAW REQUIREMENT

To enable Titan Securities Pty Ltd to discharge its **compulsory obligations under the Corporations Act** in that we are required to make recommendations appropriate to your requirements we are obliged to ask for particulars of your investment objectives, financial situation and particular needs. Please be assured that this information will be treated confidentially.

We recognise that you may not wish to disclose some or all of the information requested. However, if you choose not to provide all the details requested, we will not be able to provide you with fully informed advice, and your rights under the Corporations Law may be affected as a result.

A. Your share investment experience:

- None
- Limited
- Good
- Extensive

B. Investment objectives for this account:

*Rank in order of importance.
(1 most important, 5 least important)*

- Security
- Income
- Capital Growth
- Long Term Investment
- Short Term Investment

C. Your particular interests: (please tick)

- Blue Chips
- Industrials
- Major and/or Junior Miners
- Speculative Investments
- New Floats
- Share Placements
- Exchange Traded Options and/or Futures
- Warrants
- Property Trusts

Other _____

D. Your asset position (net of liabilities):

- \$0 - \$20,000
- \$20,001 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$200,000
- \$200,001 - \$300,000
- \$300,001 and over

E. Your Occupation

Your Employer

F. Your current investment portfolio:

\$ Amount

| | |
|------------------|-------|
| Property | _____ |
| Shares | _____ |
| Fixed Interest | _____ |
| Investment Funds | _____ |
| Cash at Bank | _____ |
| Other | _____ |
| TOTAL | _____ |

G. Your annual income from all sources, net of tax: (tick one)

- \$0 - \$30,000
- \$30,001 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$150,000
- \$150,001 and over

H. Introduced to Titan Securities Pty Ltd by:

I. Other relevant information:

DECLARATION - IN THE EVENT INFORMATION REGARDING FINANCIAL POSITION NOT PROVIDED

1. I/We have/have never been bankrupt or insolvent or assigned my estate for the benefit of creditors (If so, please describe).
2. I/We decline to provide further information of my/our financial position.

(Signature/s)

(Date)

Investor Risk Profile

(Please Tick)

Defensive

You are a defensive investor. Risk must be very low and you are prepared to accept lower returns to protect capital. The negative effects of tax and inflation will not concern you, provided your initial capital is protected.

Moderate

You are a moderate investor seeking better than basic returns, but risk must be low. Typically, you are an older investor seeking to protect the wealth which you have accumulated, and you prefer to consider less aggressive investments.

Balanced

You are a balanced investor who wants a balanced portfolio to work towards medium to long term financial goals. You require an investment strategy, which will cope with the effects of tax and inflation. Calculated risks will be acceptable to you to achieve good returns.

Growth

You are a growth investor, probably earning sufficient income to invest most funds for capital growth. Prepared to accept higher volatility and moderate risks, your primary concern is to accumulate assets over the medium to long term. You require a balanced portfolio, but more aggressive investments may be included.

High Growth

You are a high growth investor, prepared to compromise portfolio balance to pursue potentially greater long term returns. Your investment choices are diverse, but carry with them a higher level of risk. Security of capital is secondary to the potential for wealth accumulation.

Aggressive

You are prepared to invest in speculative new issues, futures & options, CFDs or margin forex. You understand the risks and have sufficient capital to accept the risks.